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By:   
LINDA E. HASTINGS

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08-1634.

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JC986 U.S. PTO 09/8888930  
06/25/01

Attorney Docket No.: SOH 14.569A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor : Norihiro IWAI, et al.  
Serial No. :  
Filed : June 25, 2001  
Title : **STRUCTURE AND METHOD FOR  
MANUFACTURING A SEMICONDUCTOR  
OPTICAL WAVEGUIDE**  
Examiner :  
Group Art Unit :

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

S I R:

In order to comply with discretionary regulations 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449. Copies of the documents listed thereon are not required to be submitted pursuant to 37 C.F.R. §1.98(d) since they were cited by the Office in application no. 08/961,851, filed on October 31, 1997, of which this is a divisional and which is being relied on for an earlier effective filing date under 35 U.S.C.

§120. These documents contain information which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

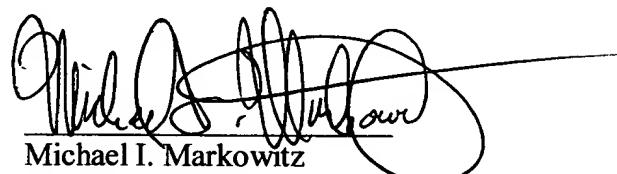
As this Statement is being filed prior to issuance of a first Office Action, no fee is due.

The present Disclosure Statement is being submitted in compliance with 37 CFR 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 (a) and 1.106 (b), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is respectfully solicited.

Any fee due with this paper, not fully covered by an enclosed check, may be charged on Deposit Account 08-1634.

Respectfully submitted,



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